

Institute of Mathematics, Czech Academy of Sciences

---

# Smoothness in normed spaces

Tommaso Russo

[tommaso.russo.math@gmail.com](mailto:tommaso.russo.math@gmail.com)

(j/w Sheldon Dantas and Petr Hájek)

Workshop on Banach spaces and Banach lattices II  
ICMAT (Madrid), 9-13 May 2022

- Renorming theory = Find an equivalent norm on  $\mathcal{X}$  with the strongest possible form of a certain property.
- Let  $\mathcal{U} \subseteq \mathcal{X}$  be open.  $f: \mathcal{U} \rightarrow \mathcal{Y}$  is differentiable at  $x \in \mathcal{U}$  if there is  $f'(x) \in \mathcal{B}(\mathcal{X}, \mathcal{Y})$  such that

$$\lim_{h \rightarrow 0} \frac{f(x+h) - f(x) - \langle f'(x), h \rangle}{\|h\|} = 0.$$

- $C^k$ -smoothness, rules of calculus, Implicit Function theorem, ...
- If  $p \notin \mathbb{N}$ , the  $\ell_p$  norm is  $C^{\lfloor p \rfloor}$ -smooth, but not  $C^{\lfloor p \rfloor + 1}$ .  
And  $\ell_p$  has no  $C^{\lfloor p \rfloor + 1}$ -smooth norm!
- Smooth references:



**Deville, Godefroy, Zizler, *Smoothness and Renormings in Banach Spaces*.**



**Hájek, Johanis, *Smooth analysis in Banach spaces*.**



- If a separable Banach space  $X$  has a  $C^1$ -smooth norm, then  $X$  is Asplund (*i.e.*,  $X^*$  is separable).
  - No closed, inf-dim subspace of  $\ell_1$  has a  $C^1$ -smooth norm.
- **Meshkov (1978)**. If  $X$  and  $X^*$  admit a  $C^2$ -smooth norm, then  $X$  is isomorphic to a Hilbert space.
- **Fabian, Whitfield, Zizler (1983)**. If  $X$  admits a  $C^2$ -smooth norm, either it contains  $c_0$ , or it is super-reflexive with type 2.
- **Deville (1989)**. If  $X$  has a  $C^\infty$ -smooth norm, either it contains  $c_0$ , or it is super-reflexive, with exact cotype  $2k$ , and it contains  $\ell_{2k}$ .
  - Can the first case actually happen? The  $c_0$  norm doesn't seem smooth...
- **Pechanec, Whitfield, Zizler (1981) – Fabian, Zizler (1997)**. If  $X$  has a LFC norm, then it is  $c_0$ -saturated and Asplund.

All these proofs require  $X$  to be complete (variational principles).



- Let  $\mathcal{X}$  be a normed space with a countable algebraic basis.
  - **Vanderwerff (1992)**.  $\mathcal{X}$  has a  $C^1$ -smooth norm.
  - **Hájek (1995)**.  $\mathcal{X}$  has a  $C^\infty$ -smooth norm.
  - **Déville, Fonf, Hájek (1998)**.  $\mathcal{X}$  has an analytic norm.
- **Guirao, Montesinos, Zizler**, *Open problems...*, Problem 149:  
Does the space of finitely supported vectors in  $\ell_1(\Gamma)$  have a  $C^1$ -smooth norm (when  $\Gamma$  is uncountable)?
- **Dantas, Hájek, R. (JMAA'20)**. Given a Banach space  $\mathcal{X}$ , is there a dense subspace of  $\mathcal{X}$  that admits a  $C^k$ -smooth norm?
- **Benyamini, Lindenstrauss**, *Geometric Nonlinear Functional Analysis*
  - Does the existence of a smooth norm on some 'large' subset of a Banach space  $\mathcal{X}$  imply that  $\mathcal{X}$  is Asplund?
  - Is there a norm on  $\ell_1$  that is differentiable outside a countable union of closed hyperplanes?

# Strong maxima

A.k.a. The secret of smoothness unveiled

- $c_0(\Gamma)$  has a  $C^\infty$ -smooth norm.
- Normed spaces of countable dim have a  $C^\infty$ -smooth norm.

Theorem (Dantas, Hájek, R., JMAA'20)

$\ell_\infty^F := \text{span}\{1_A : A \subseteq \mathbb{N}\}$  has a  $C^\infty$ -smooth norm.

- Take a sequence  $\varepsilon_j \searrow 0$  and define  $T: \ell_\infty^F \rightarrow \ell_\infty$  by

$$(x(j))_{j=1}^\infty \mapsto ((1 + \varepsilon_j) \cdot x(j))_{j=1}^\infty.$$

- Look at the picture. ■
- Take  $\mathcal{X} \subseteq \ell_\infty$  of countable dimension,  $\mathcal{X} = \text{span}\{e_j\}_{j=1}^\infty$ .
- Take  $\{v_j\}_{j=1}^\infty \subseteq \ell_\infty^F$  ‘very close’ to  $e_j$ s.
- $\mathcal{X}$  is isomorphic to  $\text{span}\{v_j\}_{j=1}^\infty$  (small perturbation lemma).
  - Well, I’m cheating a bit,  $\{e_j\}_{j=1}^\infty$  has to be an M-basis.



## Theorem (Dantas, Hájek, R., JMAA'20)

*Let  $\mathcal{X}$  be a Banach space with long unconditional basis and let  $\mathcal{Y}$  be the linear span of such basis. Then,  $\mathcal{Y}$  has a  $C^\infty$ -smooth norm.*

## Theorem (Dantas, Hájek, R., arXiv:2201.03379)

*Let  $\mathcal{X}$  be a Banach space with a fundamental biorthogonal system  $\{e_\alpha; \varphi_\alpha\}_{\alpha \in \Gamma}$ . Consider  $\mathcal{Y} := \text{span}\{e_\alpha\}_{\alpha \in \Gamma}$ . Then:*

- (i)  $\mathcal{Y}$  admits a polyhedral and LFC norm.
- (ii)  $\mathcal{Y}$  admits a  $C^\infty$ -smooth and LFC norm.
- (iii)  $\mathcal{Y}$  admits a  $C^1$ -smooth LUR norm.

*Moreover, such norms are dense.*

The norm  $\|\cdot\|$  is LFC on  $\mathcal{X}$  if for each  $x \in \mathcal{S}_\mathcal{X}$  there exist an open neighborhood  $\mathcal{U}$  of  $x$ , functionals  $\varphi_1, \dots, \varphi_k \in \mathcal{X}^*$ , and  $G: \mathbb{R}^k \rightarrow \mathbb{R}$  such that

$$\|y\| = G(\langle \varphi_1, y \rangle, \dots, \langle \varphi_k, y \rangle) \quad \text{for every } y \in \mathcal{U}.$$

- **Dantas, Hájek, R. (JMAA'20).** No dense subspace of  $c_0(\omega_1)$  admits an analytic norm.
- **Fabian, Whitfield, Zizler (1983).** Let  $\mathcal{Y}$  be a normed space with a  $C_{\text{loc}}^{1,+}$ -smooth (*e.g.*,  $C^2$ -smooth) LUR norm  $\|\cdot\|$ . Then the completion of  $\mathcal{Y}$  is super-reflexive.
- What about dense subspaces that are not the span of a fundamental biorthogonal system?
  - **Hájek, R., JFA'20.** Different dense subspaces of a Banach space can be extremely different.
  - See Slide 8 for more about this.
- **Main problem.** Is there a Banach space  $\mathcal{X}$  such that no dense subspace of  $\mathcal{X}$  has a  $C^k$ -smooth norm?





$\{e_\alpha; \varphi_\alpha\}_{\alpha \in \Gamma} \subseteq \mathcal{X} \times \mathcal{X}^*$  is a *fundamental biorthogonal system* for  $\mathcal{X}$  if

- $\langle \varphi_\beta, e_\alpha \rangle = \delta_{\alpha, \beta}$ ,
- $\text{span}\{e_\alpha\}_{\alpha \in \Gamma}$  is dense in  $\mathcal{X}$ .





Which Banach spaces admit a fundamental biorthogonal system?

- Plichko spaces (e.g., WCG, reflexive,  $c_0(\Gamma)$ ,  $L_1(\mu)$  for a finite measure,  $C(\mathcal{K})$  for  $\mathcal{K}$  Valdivia),
- **Kalenda (2020)**. Every space with projectional skeleton (duals of Asplund spaces, preduals of Von Neumann algebras, preduals of JBW\*-triples),
- $\ell_\infty(\Gamma)$ ,  $\ell_\infty^c(\Lambda)$  when  $|\Lambda| \leq \mathfrak{c}$ ,
- $C(\mathcal{T})$ , when  $\mathcal{T}$  is a tree,
- **Davis, Johnson (1973)**.  $\mathcal{X}$  with  $\text{dens } \mathcal{X} = \kappa$  that has a WCG quotient of density  $\kappa$ ,
- **Todorćević (2006)**. All Banach spaces of density  $\omega_1$ , under MM.

## Theorem (Dantas, Hájek, R., *in preparation*)

*Let  $1 \leq p < \infty$  and  $r \in (0, p)$ . The dense subspace  $\ell_r(\Gamma)$  of  $\ell_p(\Gamma)$  has a  $C^\infty$ -smooth norm.*

- $\ell_p$  has a dense subspace of dimension continuum with a  $C^\infty$ -smooth norm.
- If  $p > 1$  such subspace is an operator range.
- **Rosenthal (1970)**. Every non-separable operator range in  $\ell_1(\Gamma)$  contains  $\ell_1(\omega_1)$ .
- Let  $X$  be a separable Banach space. Does it have a dense subspace of dimension continuum with a  $C^\infty$ -smooth norm?
- Can a dense hyperplane in  $\ell_1$  have a smooth norm?
- Does the space of simple functions in  $L_1$  have a smooth norm?

-  **S. Dantas, P. Hájek, and T. Russo, Smooth norms in dense subspaces of Banach spaces, *J. Math. Anal. Appl.* 487 (2020), 123963.**
-  **P. Hájek and T. Russo, On densely isomorphic normed spaces, *J. Funct. Anal.* 279 (2020), 108667.**
-  **S. Dantas, P. Hájek, and T. Russo, Smooth and polyhedral norms via fundamental biorthogonal systems, *arXiv:2201.03379*.**
-  **S. Dantas, P. Hájek, and T. Russo, Smooth norms in dense subspaces of  $\ell_p(\Gamma)$  and operator ranges, *in preparation*.**

**Thank you for your attention!**